



IMPORTANT

- Familiarise yourself with the MTNZF Master Definition Schedules, Prospectus and MOI.
 - The abovementioned documents can be found on the MTNZF website <https://mtnzakhelefuthi.nedsecure.co.za/> or Call centre on 010 476 2012.
 - Accept I-Ex and MTNZF Facilitated Trading Process Verification Terms and Conditions as well as the Singular Client Mandate Agreement.
 - Please complete the relevant sections and return the form, with the required documentation as indicated on the checklist.
 - Once the form has been submitted, Singular Systems will verify the changes via SMS and email. For this reason, only investor details should be supplied in this form.
 - Fields marked with * are **not** compulsory.
 - If you are completing the form for yourself, please fill in the relevant parts of Section A.
 - If you are completing the form on behalf of someone else, please fill in the relevant parts of Section A and B.
 - If you are completing the form on behalf of a minor (i.e. person under the age of 18), please fill in the relevant parts of Section A and B.
- See guideline on page 4 for further details

Scan here to send us a WhatsApp.



SECTION A: INVESTOR DETAILS

Title	Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="checkbox"/> Dr <input type="checkbox"/> Rev <input type="checkbox"/> Adv <input type="checkbox"/> Judge <input type="checkbox"/> Prof <input type="checkbox"/> Other <input type="checkbox"/>
Names & Surname	
Identity Number	
Country of birth	Republic of South Africa <input type="checkbox"/> YES <input type="checkbox"/> NO (please specify if other) _____
Country of citizenship	Republic of South Africa <input type="checkbox"/> YES <input type="checkbox"/> NO (please specify if other) _____

REQUIRED DOCUMENTS (Indicate documents submitted with an "X" in the relevant column as indicated) X

INDIVIDUAL	Option 1	Identity documents and selfie	
		<ul style="list-style-type: none"> Green bar-coded South African identity book, Smart identity card (copy of both sides) or valid South African Passport reflecting the applicant's SA identity number. Must reflect a clear picture, which is a reasonable likeness of the person. And a selfie photo holding the original identity book, front of the smart identity card or valid South African passport. 	
	Option 2	<ul style="list-style-type: none"> Green bar-coded South African identity book, Smart identity card (copy of both sides) or valid South African Passport copy certified by an independent commissioner of oaths within the last 6 months. 	
MINOR			
Birth Certificate		<ul style="list-style-type: none"> Abridged or unabridged South African birth certificate. Must be certified by an independent commissioner of oaths within the last 6 months. 	

Cell phone number	
Cell phone number belongs to	<input type="checkbox"/> Myself <input type="checkbox"/> Someone else
Alternative number*	
Email Address*	
Email address belongs to	<input type="checkbox"/> Myself <input type="checkbox"/> Someone else
Residential Address	
Country	
Postal Code	
Postal Address	
Country	
Postal Code	

B-BBEE DETAILS (required for verification and reporting purposes for participation in B-BBEE share schemes)

Race	<input type="checkbox"/> African <input type="checkbox"/> Asian <input type="checkbox"/> Indian <input type="checkbox"/> Coloured <input type="checkbox"/> White
Are you a South African citizen by birth or descent?	<input type="checkbox"/> YES <input type="checkbox"/> NO
Were you naturalised before 27 April 1994?	<input type="checkbox"/> YES <input type="checkbox"/> NO
Do you hold a south African identification document but you are not a South African citizen	<input type="checkbox"/> YES <input type="checkbox"/> NO

NEXT OF KIN DETAILS (in the event we cannot reach you)

Name and Surname	
Cell phone number	

Email Address	
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NOMINATED BANK ACCOUNT DETAILS (the bank account in which dividends and trade proceeds will be paid into)

Account belongs to	<input type="checkbox"/> Myself <input type="checkbox"/> Someone else
Bank	
Account Holder	
Account Number	
Account Type	<input type="checkbox"/> Cheque <input type="checkbox"/> Transmission <input type="checkbox"/> Savings
REQUIRED DOCUMENT (Indicate documents submitted with an "X" in the relevant column as indicated)	
Bank account details	X
	<ul style="list-style-type: none"> ▪ Bank statement. ▪ Must reflect at least initials, surname and bank account number. ▪ Must be stamped by the bank. ▪ Must not be older than 3 months

SOURCE OF FUNDS

As part of Singular Financial services (Singular) due diligence and risk mitigation procedure, some investors may be required on specific request to provide proof of source of funds when making deposits into the EESE bank account. Singular will contact investors directly should proof of source of funds be required. Singular reserves the right to suspend an investor's trading account until the proof of source of funds is supplied and approved.

Confirm Source of Funds.

Salary	<input type="checkbox"/>	Wages	<input type="checkbox"/>	Pension	<input type="checkbox"/>	Inheritance	<input type="checkbox"/>	Self employed	<input type="checkbox"/>
Savings	<input type="checkbox"/>	Loans	<input type="checkbox"/>	Winnings	<input type="checkbox"/>	Gift/donation	<input type="checkbox"/>	Other	<input type="checkbox"/> _____

DECLARATION OF POLITICAL/PROMINENT INFLUENTIAL PERSON

Are you currently acting (for a period exceeding 6 months) or have you acted in any of the following positions during the preceding 12 months, locally or in a foreign country* YES
NO

- Any national, provincial, or municipal governmental function or as a leader of a registered political party.
- A member of a royal or senior traditional leader.
- The chairperson of the controlling body, the chief executive officer, a natural person who is the accounting authority or chief financial officer or chief investment officer of a public entity listed in Schedule 2 or 3 to the Public Finance Management Act, 1999 or of a municipal entity as defined in section 1 of the Local Government: Municipal Systems Act, 2000.
- A judicial officer.
- An Ambassador, High Commissioner, or other senior representative of a foreign government based in South Africa.
- A high-ranking member of the military.
- A senior executive of a state-owned corporation.
- A position in a privately-owned company that provides goods and services to an organ of state i.e. as chairperson of the board of directors or audit committee; or as executive officer or chief financial officer.
- A senior position held at an international organisation based in South Africa.

FATCA AND CRS SELF-CERTIFICATION

FATCA is a United States (US) tax regulation aimed at combating tax evasion of US persons. The objective of FATCA requires non-US financial institutions (foreign financial institutions (FFI's) to identify and report all their US account holders as well as entities under US control and to provide the American Internal Revenue Service (IRS) with information on their assets and income.

Singular is required to obtain and share information concerning your taxation status with tax authorities. FATCA requires reporting of client information directly to the IRS or via an inter-governmental agreement (IGA). The South African Government has entered into an IGA with the USA and as such we are required to obtain information on US citizens in accordance with IGA from 1 July 2014 and report such information to the South African Revenue Services.

Are you a tax resident of South Africa and not resident of any other country? YES NO

Do you have any tax liabilities in other countries? YES NO

If you have ticked yes to the second question please indicate all countries in which you are resident for tax purpose and the foreign tax identification number (TIN):

Country	Tax Identification Number

SECTION B: AUTHORISED REPRESENTATIVE

Title	Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="checkbox"/> Dr <input type="checkbox"/> Rev <input type="checkbox"/> Adv <input type="checkbox"/> Judge <input type="checkbox"/> Prof <input type="checkbox"/> Other <input type="checkbox"/>
Names & Surname	
Identity Number	
REQUIRED DOCUMENTS (Indicate documents submitted with an "X" in the relevant column as indicated)	
INDIVIDUAL	X
Option 1	Identity documents and selfie
	<ul style="list-style-type: none"> ▪ Green bar-coded South African identity book, Smart identity card (copy of both sides) or a valid South African Passport reflecting the applicant's SA identity number. ▪ Must reflect a clear picture, which is a reasonable likeness of the person. ▪ A selfie photo holding the original identity book, front of the smart identity card or a valid South African Passport reflecting the applicant's SA identity number.
Option 2	Green bar-coded South identity book, Smart identity card (copy of both sides) or valid South African Passport copy certified by an independent commissioner of oaths within the last 6 months.
Proof of Guardianship	<ul style="list-style-type: none"> ▪ If acting on behalf of a minor, an affidavit confirming authority to act on behalf of the minor.
Proof of authority	<ul style="list-style-type: none"> ▪ If acting on behalf of a major (i.e. someone who is 18 years or older), power of attorney, mandate, resolution, court order, letter of authority or declaration of legal guardianship.

Cell phone number	
Cell phone number to belongs	<input type="checkbox"/> Myself <input type="checkbox"/> Someone else
Alternative number*	
Email Address	
Email address belongs to	<input type="checkbox"/> Myself <input type="checkbox"/> Someone else
Residential Address	
Country	
Postal Code	
Postal Address	
Country	
Postal Code	

DECLARATION OF POLITICAL/PROMINENT INFLUENTIAL PERSON (Authorised Person)

Are you currently acting (for a period exceeding 6 months) or have you acted in any of the following positions during the preceding 12 months, locally or in a foreign country*	YES <input type="checkbox"/> NO <input type="checkbox"/>
<ul style="list-style-type: none"> ▪ Any national, provincial, or municipal governmental function or as a leader of a registered political party. ▪ A member of a royal or senior traditional leader. ▪ The chairperson of the controlling body, the chief executive officer, a natural person who is the accounting authority or chief financial officer or chief investment of officer of a public entity listed in Schedule 2 or 3 to the Public Finance Management Act, 1999 or of a municipal entity as defined in section 1 of the Local Government: Municipal Systems Act, 2000. ▪ A judicial officer. ▪ An Ambassador, High Commissioner, or other senior representative of a foreign government based in South Africa. ▪ A high-ranking member of the military. ▪ A senior executive of a state-owned corporation. ▪ A position in a privately-owned company that provides goods and services to an organ of state i.e. as chairperson of the board of directors or audit committee; or as executive officer or chief financial officer. ▪ A senior position held at an international organisation based in South Africa. 	

SELECT ONE OF THE FOLLOWING TRADING OPTIONS

<input type="checkbox"/> The facilitated Trading Process This is where you use the appointed Trading Entity and the Custody Entity to provide trading and custody services in relation to your MTNZF Shares.	<input type="checkbox"/> The Independent Trading Process This is where you use your own broker or agent to hold and trade your MTNZF Shares. This option is not subsidised by MTNZF.
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By signing this form, I hereby confirm, acknowledge and warrant to MTNZF that:

- I have read and understood the Master Definitions Schedule.
- I, the undersigned and the person identified as the Investor in this Form (or the person signing this Form in a representative capacity), have full legal capacity and that I am duly authorised to apply to become an Eligible MTNZF Shareholder.
- I am a Black Person as defined in the Definitions Schedule and that all of the information provided by me in this Form (including all Supporting Documents) is true and correct in all respects.
- The information provided and statements made by me is true and complete and MTNZF may rely on it in making its decision to accept this Form.
- If I have previously submitted a Form, the information provided by me in this Form replaces and supersedes that prior form, from the date I sign this Form.
- I have been informed that various restrictions are imposed upon the Disposal and/or Encumbrance of the MTNZF Shares as set out in the Relationship Agreement and summarised in paragraph 12 of Section 2 of the Prospectus.
- I undertake to MTNZF and MTN that, if MTNZF Shares are transferred to me, I will comply with the terms of the MTNZF MOI including all the restrictions contained in the MTNZF MOI, and I confirm and agree in favour of MTNZF and MTN that I am and will be bound by the provisions of the Relationship Agreement. I understand that if I contravene these restrictions and/or breach the terms of the Relationship Agreement I may have action taken against me which may result in me losing any benefit which I would otherwise have had in my investment in MTNZF.
- MTNZF is entitled to verify my details and that I am obliged to assist in such verification promptly, when requested to do so.
- I have read the Relationship Agreement and agree and undertake that, with immediate effect from the date of acquisition of any MTNZF Shares and/or beneficial interests in any MTNZF Shares, will be bound by all of the provisions of the Relationship Agreement and the MTNZF MOI and all the terms thereof will be enforceable against me by the relevant parties to the Relationship Agreement as from the date of such acquisition.
- I have read and understood the Privacy Policy available on the MTNZF Website which sets out how MTNZF may use my Personal Information (as defined in the Privacy Policy), and which may be updated from time to time.

Name and Surname of Investor or Authorised Person
(if applicable)

Signature

Date

Guidelines for filling out the form:

- Please submit all of the required supporting documents. There may be additional documentation requirements not specified in the form.
- Documents requiring certification must be stamped by SAPS or an independent commissioner of oaths and must include the commissioner's name or reference number, contact details and date. Documents consisting of more than one page require certification on the first and last page.
- Indicate documents submitted with an "X" in the relevant column as indicated.
- Original documents may be presented in person at the Walk-in centre: Singular Systems, 25 Scott Street, Waverley, 2090.

Please note that the above requirements are in compliance with The Financial Intelligence Centre Act No. 38 of 2001, as amended (FIC Act) which requires all accountable institutions to verify the details of any legal person (juristic or natural) prior to transacting with them. Therefore, the submission of documents that do not meet the exact criteria specified above will result in a delay in verification.

Documents received for the purpose of identification and verification of any legal person, are retained for a period of five years from the date that a single transaction was entered into or the business relationship was terminated.

Complaints Process: In the event that you are dissatisfied with any aspect of our service, you may contact our offices at 25 Scott Street, Waverley, 2090 or log a written complaint to customercare@singular.co.za.

If you cannot settle your complaint with us, you are entitled to refer it to the FAIS Ombud. The Ombud has been created to provide you with a redress mechanism for any inappropriate financial advice that may have been given to you.

Contact details of the Ombud Telephone: +27 12 762 5000 / +27 12 470 9080 Facsimile: +27 86 764 1422 / +27 12 348 3447 E-mail Address: info@faisombud.co.za Website: www.faisombud.co.za

Physical Address: Sussex Office Park, Ground Floor, Block B, 473 Lynnwood Road Cnr Lynnwood Road & Sussex Ave, Lynnwood, 0081
Postal Address: PO Box 74571, Lynnwood Ridge 0040